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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/763,712	05/04/2001	Nobutaka Wakamiya	19036/37157	9190

7590

10/02/2002

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EXAMINER

PARAS JR, PETER

ART UNIT

PAPER NUMBER

1632

11

DATE MAILED: 10/02/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 09/763,712	Applicant(s) WAKAMIYA, NOBUTAKA	
	Examiner Peter Paras	Art Unit 1632	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 38-96 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☐ Claim(s) ____ is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☒ Claim(s) 38-96 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on ____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
 If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 a) ☐ All b) ☐ Some * c) ☐ None of:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. ____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
 * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
 a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) ____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Applicant's preliminary amendment received on May 4, 2001 has been entered. Claims 1-37 have been cancelled. New claims 38-96 have been added. New claims 38-96 are pending and are subject to the restriction requirement set forth below.

Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 38-52 and 71-80, drawn to an isolated polynucleotide as set forth in SEQ ID NO: 1, which encodes the polypeptide set forth in SEQ ID NO: 2, a vector comprising the same polynucleotide, and a host cell comprising the same vector classified in classes 536, 536, 435 and 435 subclasses 23.1, 23.5, 320.1 and 325.
- II. Claims 58-70, drawn to an isolated collectin polypeptide, classified in class 530, subclass 350.
- III. Claim 81, drawn to a probe for screening for a collectin homolog, classified in class 536, subclass 24.31.
- IV. Claims 85-86, drawn to a method for obtaining a collectin homolog comprising screening proteins that bind an antibody, classified in class 435, subclass 7.1.
- V. Claims 87-88, drawn to a method of quantitative determination of a collectin comprising contacting a sample with an antibody, classified in class 435, subclass 4.

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- VI. Claim 89, drawn to an ELISA kit comprising an antibody against collectin, classified in class 530, subclass 387.1.
- VII. Claims 90-92, drawn to a method for isolating a collectin from a sample with an antibody against collectin by affinity chromatography, classified in class 530, subclass 412.
- VIII. Claim 93, drawn to a method for making a collectin polypeptide in vitro, classified in class 435, subclass 70.1.
- IX. Claim 94, drawn to a transgenic non-human animal comprising a polynucleotide encoding a collectin peptide, classified in class 800, subclass 13.
- X. Claims 95-96, drawn to a transgenic non-human animal comprising a disrupted collectin gene, classified in class 800, subclass 13.

Claims 82-84 are generic to Groups IV-VII and will be examined with respect to the elected group if one of Groups IV-VII is elected.

Inventions I-X are distinct each from the other. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions have different modes of operation, different functions and different effects. The different inventions of Groups I-X embrace products and methods. The products can be used in materially different methods than the claimed methods and the methods can be practiced with materially different

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reagents from the products as claimed. For example, the transgenic non-human animal of Group X can be used as a model of disease for screening candidate agents that may treat a disease. While group VIII is directed to producing a polypeptide *in vitro*. The polypeptide of Group II can be used as an antigen to produce antibodies in an animal while the method of Group V can be used to quantitate the amount of collectin in a sample. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter and separate search requirement, restriction for examination purposes as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Any inquiry concerning this communication or earlier communications from the examiner(s) should be directed to Peter Paras, Jr., whose telephone number is 703-308-8340. The examiner can normally be reached Monday-Friday from 8:30 to 4:30 (Eastern time).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Deborah Reynolds, can be reached at 703-305-4051. Papers related to this application may be submitted by facsimile transmission. Papers should be faxed via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Fax Center numbers are (703) 308-4242 and (703) 305-3014.

Inquiries of a general nature or relating to the status of the application should be directed to Patsy Zimmerman whose telephone number is (703) 308-0009.

Peter Paras, Jr.

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Peter Paras
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